## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application		) PATENT APPLICATION
Inventor(s):	James F. Zucherman Ken Y. Hsu	) ) )
SC/Serial No.:	08/958,281	) }
Filed:	October 27, 1997	) }
Title: SPINE DISTR	ACTION IMPLANT AND METHOD	j L
As a below r My residence I believe I a original, first and join is claimed and for v  Title	DECLARATION FOR C-I-P PATENthan part of the post office address and citizenship method the original, first and sole inventor.	is being deposited in the e as first class mail in an Patents, Washington, D.C.  (Attorney Signature)  IT APPLICATION  nat: are as stated below next to my name; tor (if only one name is listed below), ed below) of the subject matter which ention of the present application:  tion:  AND METHOD
ine s	specification of the present applica	don (check applicable ones):
X	is filed herewith; was filed with the above No." (a copy of which is a was amended on (or ame	
		of the above-identified specification for inded by any amendment(s) referred to

I acknowledge the duty to disclose information which is material to the examination of the present application in accordance with Title 37, Code of Federal Regulations, §1.56.

This present application in part discloses and claims subject matter disclosed in, and I hereby claim the benefit under Title 35, United States Code §120 of any United States prior application(s) listed below:

(1) 08/778,093	January 2, 1997	Pending
(SC/Serial No.)	(Filing Date)	(Status-pending)
40)		
(SC/Serial No.)	(Filing Date)	(Status-patented, pending, abandoned)
Insofar as the sul	oject matter of each of the	claims of the present application is not
		e manner provided by the first paragraph
		the duty to disclose material information
	•	1.56 which occurred between the filing or PCT international filing date of this
present application.	ication(s) and the national	or FCT international ming date of this
	hat all statements made he	erein of my own knowledge are true and
that all statements made	e on information and belief	are believed to be true, and further that
		t willful false statements and the like so
		n, under §1001 of Title 18 of the United
application or any patent		nay jeopardize the validity of the present
application of any patern	. Issuing thereon.	
(1) Full name of sole	•	
or first inventor: _	James F. Zucherman	
(1) Residence:	3035 Pierce Street	
(1) hesiderice.	San Francisco, CA 941	23
(1) Post Office Address:		
	Piedmont, CA 94611	
(1) Citizenship:	USA	
( , , e	A. 17 7 ml	he.
(1) Inventor's signature;	19m 1- 1100	WM -
(1) 5	///	
(1) Date: 11/26	/ 9 /	
*******		*******
(2) Full name of second		
joint inventor:	Ken Y. Hsu	
(2) Residence:	52 Clarendon Avenue	
(2) Nosiderice:		14
(2) Post Office Address:		d
	Piedmont. CA 94611	
(2) Citizenship:	USA	
(2) Citizensinp.	OUA.	
(2) Inventor's signature:	16 T.	
101.7	1/26/97	
(2) Date:	1 - 1 - 1 - 1 - 1	

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

4				
In re Application		)	PATENT APPLICA	ATION
Inventor(s):	James F. Zucherman Ken Y. Hsu Charles J. Winslow Henry A. Klyce	) ) ) )	•	
SC/Serial No.:	09/175,645	)		
Filed:	October 20, 1998	)		
Title: SPINE	DISTRACTION IMPLAN	T )		
	DECLARATION FOR C-	I-P PATENT A	PPLICATION	
My residence I believe I an original, first and join	named inventor, I hereby , post office address and n the original, first and s nt inventor (if plural nam which a patent is sought	citizenship are ole inventor ( es are listed bo	if only one name is elow) of the subjec	s listed below), et matter which
Title o	of invention and of prese	nt application:		
	SPINE DISTRA	ACTION IMPLA	INT	
The s	pecification of the prese	nt application	(check applicable o	ones):
	is filed herewith	;		
X	was filed with No."	the above-ide	ntified "Filed" date	and "SC/Serial
	was amended o	n (or amended	through)	_•
	ved and understand the dinamental including the claims			
the present applicat	ge the duty to disclose infi ion in accordance with I application in part disclo	Title 37, Code	of Federal Regulati	ions, §1.56.
	enefit under Title 35, Un			
(1) 08/958,281		ctober 27, 19	97	Pandina
(SC/Serial No		iling Date)		Pending (Status)
, = 0, 00	- · · · · · · · · · · · · · · · · · · ·			(3:0:00)
(1) 08/778,093		anuary 2, 199	7	Pending
(SC/Serial No.)	(F	iling Date)		(Status)

Insofar as the subject matter of each of the claims of the present application is not disclosed in the United States prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date(s) of the prior application(s) and the national or PCT international filing date of this present application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the present application or any patent issuing thereon.

or first inventor:	James F. Zucherman
(1) Residence:	3035 Pierce Street
	San Francisco. CA 94123
(1) Post Office Address: _	
	Piedmont, CA 94611
	USA
(1) Inventor's signature:	Sam - ZMills
(1) Date:	

(2) Full name of second	
joint inventor:	Ken Y. Hsu
(2) Residence:	52 Clarendon Avenue
	San Francisco, CA 94114
(2) Post Office Address:	231 Sandringham Road Piedmont, CA 94611
(2) Citizenship:	
(2) Inventor's signature:	Ceny, V2
(2) Date:	(Cen 7, V2
*******	******************************
Full name of second joint inventor:	Charles J. Winslow
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(3) Date: 12/1	98 0
*********	*
A) Follows	t comme
4) Full name of second joint inventor:	Henry A. Klyce
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(4) Citizenship:	USA
(4) Inventor's signature:	1 your
(4) Date:	Ty 6, 1998
*********	

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applicati	on	PATENT APPLICATION
Inventor(s):	James F. Zucherman Ken Y. Hsu T. Wade Fallin Henry A. Klyce	—————————————————————————————————————
SC/Serial No.:	08/778,093	
Filed:	January 2, 1997	
	SPINE DISTRACTION IMPLANT AND METHOD	
As a be citizenship are inventor (if one	as stated below next to my name; I to name is listed below), first and joint	dence is being deposited in the costage as first class mail in an er for Patents, Washington, D.C.  (Attorney Signature)
	SPINE DISTRACTION IMPL	ANT AND METHOD
the specificati	on of which (check applicable ones)	:
	is filed herewith;	
	X was filed with the ab	ove-identified "Filed" date and "SC/Serial
	was amended on (or a	amended through)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the examination of the application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

(1) Full name of sole or first inventor:	James F. Zucherman
	3035 Price Street San Francisco, CA 94123
(1) Post Office Address: _	231 Sandringham Road Piedmont, CA 94611
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(1) Inventor's signature;	Jun 1- Jillin
(1) Date: 3/9 7/9	June 1- Julian
**********	**********
(2) Full name of second joint inventor:	Ken Y. Hsu
(2) Residence:	52 Clarendon Avenue San Francisco, CA 94114
(2) Post Office Address: _	231 Sandringham Road Piedmont, CA 94611
(2) Citizenship:	USA
(2) Inventor's signature: _	Cay, ch
	L 27 , 1997
********	************************************

(3) Full name of second	
joint inventor:	T. Wade Fallin
(3) Residence:	210 East 200 South
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	Piedmont, CA 94611
(3) Citizenship:	
(3) Inventor's signature:	1 Wade Fall
(3) Date:	18, 1997
*********	**********
(4) Full name of second	
	Henry A. Klyce
	TISTO F TO INITIO
(4) Residence:	231 Sandringham Road
	Piedmont, CA 94611
M Dook Office Address	004.0
(4) Post Office Address: _	231 Sandringham Road
	Piedmont, CA 94611
<del></del>	1
(4) Citizenship:	USA // / )
•	N Ca / la
(4) Inventor's signature:	- WALKER MARKET THE STATE OF TH
	A W TO Z I A U L
4) Date:	